

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Golden
Serial No.: 09/541,197
Filed: April 3, 2000



For: SYSTEM AND METHOD FOR PROVIDING
SECURE RETIREMENT BENEFITS VIA A
CONVERSION PROCESS

RECEIVED
MAY 25 2001
Technology Center 2100

#4/PredmattA
JZ Blayw
6/07

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents
and Trademarks
Washington, D.C.20231

SIR:

Prior to the examination of the above-referenced application, please enter the following amendments.

In the Abstract:

Please amend the Abstract as follows:

A method for providing a person with a secure[d] retirement program employs a computerized system to allocate assets owned by a person towards purchase of retirement benefits. The system selects at least one desired retirement benefit from a group of available retirement benefits. A user may specify a conversion period for allocating the assets to the selected benefits during this conversion period. The system allocates portions of the assets towards purchasing a fraction of the selected benefits at selected intervals within the conversion